



64/1645

TRANSMITTAL LETTER			Case No. 10466/45
Serial No. 09/904,011	Filing Date July 11, 2001	Examiner To be assigned	Group Art Unit 1645
Inventor(s) Ashkenazi et al.			
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME			

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement; Form PTO-1449; 9 cited references and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

\_\_\_\_\_  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 06/12/02 02 64/1645

Date: 06/11/02

Signature:



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Date of Deposit

Gregory M. Zinkl, Ph.D.

Name of Applicant, Assignee or  
Registered Representative

*GMZ*

Signature

06/14/02

Date of Signature

Our Case No.: 10466/45

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Ashkenazi et al.

Serial No.: 09/904,011

Filing Date: July 11, 2001

For: SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME

Examiner: To be assigned

Group Art Unit No.: 1645

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.



The references now cited are the following:

#### FOREIGN DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY
WO 9707198	2/1997	WIPO
EP 0834563	4/1998	Europe
WO 9914328	3/1999	WIPO

#### OTHER ART

1. Chen, H. et al., "Cricetulus griseus HT protein mRNA, complete cds", *Database EMBL-EMROD 'Online'*, Entry CG48852, Acc. No. U48852, 1-2 (1996)
2. Hillier L. et al., "zr65g02.sl Soares NhHMPu\_S1 Homo sapiens cDNA clone 668306 3' similar to TR:G1216486 G1216486 HT PROTEIN", *Database EMBL-EMEST15 [Online]*, Entry Hsaa42749, Acc. No. AA242749, 1-2 (1997)
3. Klein, R. et al., "Selection for genes encoding secreted proteins and receptors", *Proc. Natl. Acad. Sci. USA*, 93: 7108-7113, (1996)
4. Reville, B. et al. "Single Amino Acid Residues in the E- and P-selectin Epidermal Growth Factor Domains Can Determine Carbohydrate Binding Specificity", *The Journal of Biological Chemistry*, 271: No. 27, 16160-16170 (1996)
5. Strausberg, R., "oo14f12.x1 Soares\_NSF\_F8\_9W\_OT\_PA\_P\_S1 Homo sapiens cDNA clone IMAGE:1566191 3' similar to TR:Q60438 Q60438 HT PROTEIN.;, mRNA sequence", *Database EMBL-EMEST6 'Online'*, Entry/Acc no. AI066551, 1-2 (1998)



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Yokoyama-Kobayashi, M. et al., "A signal detection system using secreted protease activity as an indicator", *Gene*, 163: 193-196 (1995)

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant(s)

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